

Top 63 Independent Broker/Dealer Women Advisors in 2011

This month, we are publishing the third of our three top women advisor lists. As we noted in the January issue, the wealth management business is changing. Women control a greater percentage of the world's wealth, and some of them want to work with women advisors. Women are also good at some of the relationship-building skills required of financial advisors today. And so, women are in demand in the business, and the independent space is no exception. IBDs are ramping up efforts to recruit women advisors, and keep existing

ones happy. Many are launching training programs targeted towards women. So far, they're doing a good job of helping them to succeed. Just check out the list below, assembled by Meridian-IQ, in which Registered Rep. parent company Penton Media is an investor. Advisors are ranked by assets under management, effective Nov. 1, 2011. Only those advisors for whom a majority of assets correspond to retail clients were eligible for the list. Meridian confirmed its data with the advisors' IBDs.

Advisor	IBD Firm	AUM In \$ml	Specialty	City
1. Susan Kaplan	LPL Financial	\$1,069	Comprehensive wealth planning firm.	Newton, Mass.
2. Laila Marshall-Pence	LPL Financial	\$735	Full spectrum of financial planning and wealth management for individual investors.	Newport Beach, Calif.
3. Cheryl Young	Raymond James Financial Services	\$621	Comprehensive wealth management for executives and entrepreneurs.	Campbell, Calif.
4. Kay Shirley	LPL Financial	\$620	Full range of financial advisory services.	Atlanta, Ga.
5. Dalal Maria Salomon	Wells Fargo Advisors Financial Network	\$575	Financial planning, investment management, and life planning for individuals and families.	Richmond, Va.
6. Kathleen Kelly	LPL Financial	\$525	Estate, business, investment planning, and retirement/401(k) solutions.	Greensboro, N.C.
7. Pamela Benzer	LPL Financial	\$489	Comprehensive wealth management.	Kingsport, Tenn.
8. Deborah Danielson	LPL Financial	\$457	Comprehensive wealth management.	Las Vegas, Nev.
9. Alexandra Armstrong	Commonwealth Financial Network	\$413	Single women, widows.	Washington, D.C.
10. Kalita Blessing	Raymond James Financial Services	\$372	Financial planning.	Dallas
11. Sally Law	Raymond James Financial Services	\$370	Providing clients with wealth management and financial planning.	Glen Echo, Md.
12. Claudia Jacques-Soto	LPL Financial	\$357	Various levels of comprehensive analysis and planning services.	Bloomfield, Conn.
13. Carrie Coghill	Commonwealth Financial Network	\$346	Investment strategies.	Pittsburgh, Pa.
14. Sheryl "Sherri" Stephens	Raymond James Financial Services	\$341	Fee-based consulting for high-net-worth clients, professionals and business owners.	Flint, Mich.
15. Charlene Carter	Raymond James Financial Services	\$333	Financial planning focus, personalized investment strategy. Long-term relationships.	Eugene, Ore.
16. Debra Hilton	LPL Financial	\$305	Credit unions.	The Woodlands, Tex.
17. Virgil Kahl	National Planning Corporation	\$300	Committed to staying current on economic changes affecting my clients' investments.	Wyomissing, Pa.
18. Denise Izatt	LPL Financial	\$294	Tax sheltered annuities and 403(b) plans.	Oak Brook, Ill.
19. Patricia C. Brennan	Royal Alliance	\$270	Asset management, wealth management.	West Chester, Pa.
20. Carole Ford	LPL Financial	\$270	Personal financial planning.	Fresno, Calif.
21. Judith Ann McGee	Raymond James Financial Services	\$260	Financial planning with a focus on the well-educated, professional client.	Portland, Ore.
22. Carina Diamond	Multi-Financial	\$258	Wealth management and retirement income planning for business owners, executives and women.	Akron, Ohio
23. Margaret Webb	LPL Financial	\$255	Full-service financial planning.	Burnsville, M.N.
24. Joan C. Fisher	Wells Fargo Advisors Financial Network	\$252	Portfolio development/investment advisor.	Bellevue, Wash.
25. Barbara Geltmaker	LPL Financial	\$245	Investment planning for you, your business, and your life.	New Albany, Ind.
26. Jamie Tomlin	Wells Fargo Advisors Financial Network	\$223	Full-service financial planning.	St. Petersburg, Fla.
27. Beverly Gleason	PrimeVest	\$222	Mutual funds, annuities, life insurance, stocks, bonds.	Spokane, Wash.

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28. Kathy Muldoon	Raymond James Financial Services	\$220	Conservatively and efficiently help clients increase net worth, build financial security, attain "peace of mind."	Dallas
29. Marybeth Castay	PrimeVest	\$212	Wealth management, investment management, estate planning, fiduciary services, employee benefit plans and custody services to a variety of institutions, corporations and individuals.	New Orleans, La.
30. Judy Singleton	Raymond James Financial Services	\$212	Comprehensive, professional and personal service.	Jackson, Wyo.
31. Dana Brewer	Financial Network	\$205	Socially responsible investing; working with widows.	Edina, Minn.
32. Carol Rogers, MBA	LPL Financial	\$201	Planning and wealth management for high-net-worth families and individuals.	St. Louis, Mo.
33. Janice Hobbs	LPL Financial	\$200	Financial planning and wealth management.	Orange, Calif.
34. Nancy Caton	Raymond James Financial Services	\$199	Comprehensive wealth management.	San Rafael, Calif.
35. Yvonne Redmond	LPL Financial	\$195	Retirement planning.	Los Angeles
36. Lynn Ballou, CFP	LPL Financial	\$195	Women.	Layfayette, Calif.
37. Kathleen Winslow	Invest Financial	\$193	Financial and retirement planning.	Albuquerque, N.M.
38. Camilla Neri	LPL Financial	\$193	Retirement planning.	San Jose, Calif.
39. Diane Malison	Raymond James Financial Services	\$181	Providing personalized service, professional advice, sound risk management, quality investment products.	Ft. Mill, S.C.
40. Nadine Wilkes	Raymond James Financial Services	\$178	Multi-generational, client-centered financial planning practice with asset analysis and allocation.	Ft. Myers, Fla.
41. Kathy Kueider	LPL Financial	\$177	Wealth planning, accumulation and preservation.	Greensboro, N.C.
42. Mary Durie	Raymond James Financial Services	\$175	Comprehensive wealth management with an emphasis on retirement income planning.	Dallas
43. Connie Heier	Royal Alliance	\$170	Asset management, wealth management.	Lisle, Ill.
44. Mary Owens	Raymond James Financial Services	\$168	Comprehensive wealth management.	Grass Valley, Calif.
45. Lori Facinelli	Wells Fargo Advisors Financial Network	\$165	Retirement planning.	Rochester, N.Y.
46. Michelle Brennan-Hall	FSC Securities Corp.	\$164	Brennan Financial Services is a Dallas-based, family-owned firm that specializes in designing investment portfolios to help individuals retire successfully and to provide businesses with retirement plan solutions for their employees.	Dallas, Tex.
47. Linda Cook	VSR Financial	\$164	Wealth planning for affluent individuals.	Des Moines, Iowa
48. Marlis Gilbert	VSR Financial	\$164	Wealth planning for affluent individuals.	Des Moines, Iowa
49. Mary B. Kusske	National Planning Corporation	\$164	Make every day count.	Burnsville, Minn.
50. Kim Kantor	FSC Securities Corp.	\$160	Retirement planning and seniors.	Naples, Fla.
51. Joan Valenti	LPL Financial	\$159	Retirement and estate planning.	Farmington, Conn.
52. Marilyn Wood	Invest Financial	\$158	Financial and retirement planning.	Albuquerque, N.M.
53. Barbara J. Moser	Royal Alliance	\$156	Asset management, wealth management.	Lisle, Ill.
54. Jacquelin Moody	LPL Financial	\$150	Wealth management and estate planning for ultra-high-net-worth clients; executive planning, and corporate executive compensation programs.	Livonia, Mich.
55. Cynthia Hinds	Financial Network	\$150	Financial, investment, retirement planning for individuals. Charitable gift planning for individuals and non-profits.	Lakewood, Colo.
56. Linda Rossi	FSC Securities Corp.	\$150	Wealth preservation, estate planning and income generation for seniors.	Lincoln, Neb.
57. Carrie B. Ryan	Wells Fargo Advisors Financial Network	\$150	Financial and retirement planning.	Indianapolis, Ind.
58. Keri Daniel	PrimeVest	\$141	Comprehensive wealth management for individuals and businesses.	Baton Rouge, La.
59. Judy T. Ross	Wells Fargo Advisors Financial Network	\$140	Individualized asset management.	Canton, Ga.
60. Elizabeth Whitlock	Wells Fargo Advisors Financial Network	\$140	Retirement planning.	Peachtree City, Ga.
61. Debra Brennan-Tagg	FSC Securities Corp.	\$140	Retirement and estate planning.	Dallas
62. Susan Moore	LPL Financial	\$137	Comprehensive wealth management.	Alexander City, Ala.
63. Bonita Graff	LPL Financial	\$129	Risk analysis, asset allocation, market research, and wealth management.	Oshkosh, Wis.