

THE 2006 Registered Rep.

Deadline for entries:
Feb. 28, 2006



OUTSTANDING BROKER AWARDS

OUTSTANDING BROKER NOMINEE DATA SHEET

Nominee's full name _____
 First Middle Last
 Firm Name _____
 Address _____
 City State ZIP _____
 Telephone () _____
 Nominee's CRD number: _____
 2003, or latest 12-month production: \$ _____
 Amount of assets under nominee's control: \$ _____
 Production mix: Stocks ____% Bonds ____% Funds ____%
 Insurance ____% Managed Accounts ____%
 Number of full-time sales assistants who work for nominee: _____
 Nominated by: _____ Tel () _____

Company references (colleagues, managers)
 1) _____ Tel () _____
 2) _____ Tel () _____
 Client references
 1) _____ Tel () _____
 2) _____ Tel () _____
 Professional references (CPAs, attorneys, etc., nominee has worked with)
 1) _____ Tel () _____
 2) _____ Tel () _____

Please write a short essay describing the advisor you are nominating. Describe the advisor's business, but don't forget to describe his or her community or philanthropic activities. What makes this person stand out from all the other heavy hitting FAs you know?

REFERENCES

Please provide names and phone numbers for the following references:

Send materials to:
 Registered Rep. Outstanding Broker
 Awards
 249 W. 17th St., 3rd Floor
 (Att. Barry Rehfeld)
 New York, NY 10011
 Fax: (913) 514-6837