Registered Rep. 2011 Editorial Calendar

JANUARY

The Outlook for Investments
A survey of the outlook for fixed income, equities and commodities, including a special focus on asset allocation strategies.

The Outlook for Advisors, Independents and RIAs
Rep. editors and writers survey industry leaders about what key factors will be driving the industry in 2011 and beyond.

The Wealth Management Report
This new monthly column will address the main concern of wealth management: protecting aggregated wealth. Within that broad definition, we'll cover a number of disciplines, including fiduciary issues, tax planning (including estate planning and charitable giving), insurance strategies and even risk-management concepts.

Webinar: Choosing Fixed Income Funds

Regular Monthly Features
- Recruiting trends
- The Inner Game: Practice Building Strategies with Matt Oechsli
- Mutual Fund Watch with noted fund expert Stan Luxenberg
- Wealth Management Report
- Retirement
- College plans
- Trends in ETF-land
  (ETFs and ETNs have become centerpieces to many financial advisors' portfolio management. This monthly column explores, in a frank way, trends and strategies using ETFs.)

Show Distribution
- 45th Annual Heckerling Institute on Estate Planning
- FSI Broker Dealer Conference

Bonus to Advertisers
Ad Recall Survey

Ad Closing: December 7
Materials Due: December 10

FEBRUARY

Rethinking Retirement
Financial planning issues facing baby boomers as they hit retirement.

Special Report: Alternative Investments
- A survey of hedge funds of funds, managed futures and other low-correlated investment vehicles
- Creating absolute return strategies out of ETFs, fundamental indexes and other low-correlated mutual funds
- Lessons from the front: High-net-worth reps discuss their alternative strategies and techniques

Special Report: Investing Ideas
Asset allocation—and unearthing clients' ever changing views on their own risk profile.

Real Estate Update
During the great real estate boom, the sector had become to be considered its own asset class. We explore how advisors should regard real estate—residential and commercial—now. And what's next for REITs and other real-estate investments.

The Independent Broker Dealer Report Card
Independent Advisors rank their firms

Webinar: Alternative Investments

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Show Distribution
- IRI Marketing Conference
- TD Ameritrade National Conference

Bonus to Advertisers
Ad Recall Survey

Ad Closing: January 7
Materials Due: January 12

MARCH

RIA Trends: The Independent Life
- Facts, tips and tools for surviving on your own
- How to form an RIA
- How to evaluate broker/dealers
- Independent broker/dealer source book

The Technology Suite for the Indie FA and RIA
How to evaluate the many technological offerings available to financial advisors, from asset allocation software to performance attribution software.

Special Report: Investing Ideas
In search of yield.

Webinar: Practice Management—Building High Performance Wealth Management Teams

Special Advertising Section: Custody and Clearing Made Easy
- Platforms, technology and issues to know before going alone
- How to evaluate broker/dealers

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Show Distribution
BISA Annual Convention

Bonus to Advertisers
Ad Recall Survey

Ad Closing: February 7
Materials Due: February 10

APRIL

Mutual Fund and ETF Report
- Evaluating managers and styles
- Asset Allocation: Theories on proper portfolio diversification
- New Product Survey: A look at the dizzying array of ETFs, ETNs and "fundamental" indexes now on offer

Special Report: Investing Ideas
- Q&A with some of the leading institutional investors
- The Contrarian Investor: a hedge fund analyst on going against the crowd for investment success

Webinar: Managed Accounts/Turnkey Asset Management Platforms
A special report on fee-based practices, including new developments and products, including UMAs.

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- The Inner Game: Practice Building Strategies with Matt Oechsli
- Mutual Fund Watch with noted fund expert Stan Luxenberg
- Wealth Management Report
- Retirement
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Show Distribution
- SIFMA
- Rainmaker Weekend
- Tiburon CEO Summit
- 10th Annual Closed-End Funds & Global ETFs
- NAIBD

Bonus to Advertisers
Ad Recall Survey

Ad Closing: March 8
Materials Due: March 11

Registered Rep. 2011 Editorial Calendar

MAY

30th Annual Outstanding Advisor Awards
- Peers nominate accomplished advisors, wirehouse, independents and RIAs
- Award ceremony to be held at the NASDAQ MarketSite in Times Square

Webinar: Which Annuities Are Appropriate for Your Clients?
How annuities should be properly used for affluent clients.

Retirement Income Report
The greatest financial fear baby boomers have is outliving their savings. We show advisors how to help.

Special Report: Investing Ideas
Investing for growth

Regular Monthly Features
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Show Distribution
- IMCA Annual Conference
- ICSC RECon

Bonus to Advertisers
Ad Recall Survey

Ad Closing: April 1
Materials Due: April 11

JUNE

Special Report: Annual Advisor Compensation Survey
Registered Rep.'s exhaustive survey on financial advisor compensation and practice trends.

Insurance-affiliated Financial Advisors
The pros and cons of working for insurance company-owned brokerages.

Special Report: Investing Ideas
International Investing Update

Charitable Giving Update
Donor-advised funds and trends in charitable giving

Webinar: Achieving Sustainable Income For Retirement
Understanding the psychology of distribution planning – managing the complexities from accumulation to distribution

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- Recruiting trends
- The Inner Game: Practice Building Strategies with Matt Oechsli
- Mutual Fund Watch with noted fund expert Stan Luxenberg
- Wealth Management Report
- Retirement
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Show Distribution
- Morningstar Investment Conference
- Pershing INSITE

Bonus to Advertisers
Ad Recall Survey

Ad Closing: May 2
Materials Due: May 11

JULY

The Top 100 RIAs in America

The RIA Landscape
A survey of best practices and fiduciary issues

Webinar: Practice Management—The Art Of Selling To The Affluent

Special Report: Financial Advisor Tech Trends
What's new in retirement planning software

Special Report: Investing Ideas
The Contrarian Investor

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Bonus to Advertisers
Ad Recall Survey

Ad Closing: June 1
Materials Due: June 10

AUGUST

The Top 100 Independent Advisors in America

Special Report: Continuing Education and Professional Studies

Multifamily Magic
The apartment sector is among the fastest recovering commercial real estate property type with long-term demographics looking quite favorable. Meanwhile, the homeownership rate continues to fall. *Registered Rep.* interviews the nation's top apartment owners and developers about the state of the housing market and how apartments fit into the social fabric of America today.

Ten To Watch
The 10 powerhouses to watch over the coming 12 months.

Trends in ETF-Land: Investing in Energy and Commodities
- Navigating the many funds, ETFs and ETNs
- A look at portfolio strategies

Special Report: Investing Ideas
Using hedge-fund-like mutual funds

Webinar: Socially Responsible Investing
- A look at Corporate Governance and SRI funds
- Screening faith-based funds

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Ad Recall Survey

Ad Closing: July 1
Materials Due: July 12

SEPTEMBER

The Top 100 Wirehouse Advisors in America
- A look at million dollar producers and how they achieved success
- Remaking yourself as a high-net-worth specialist

Webinar: Life Settlement Do's And Don'ts
How to effectively use life settlement as a planning tool

Investing in Non-Traded REITs
There has been an explosion of non-traded REITs in recent years—raising concerns about whether the sector is becoming too frothy and if the firms are more concerned with making acquisitions than focusing on operations. So how can you guide your clients to the best performers? This feature provides key insights from non-traded REIT operators and analysts.

Retirement Planning—What's New?

Insurance Products Update
A survey of new products

Special Report: Investing Ideas
Investing in non-traded REITs

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Show Distribution
- FPA Annual Conference
- Tiburon CEO Summit
- FRA Summit

Bonus to Advertisers
Ad Recall Survey

Ad Closing: August 1
Materials Due: August 10

Registered Rep. 2011 Editorial Calendar

OCTOBER

Special Issue: Top Teams in America

- A ranking of the top financial advisory teams in America, including wirehouse, independents and registered investment advisories
- Best practices and tips for success: How to build a team, recruitment of team members, and a detailed case studies and profiles of successful teams. How to run—and not run—your teams
- Secrets of the top teams

The Cure for What Ails Ya

Medical office sounds like a no-brainer in today's investment world where health care is so prominent, but the budding sector has its share of potential pitfalls. *Registered Rep.* talks to some of the biggest REITs who play in this sector.

Top Women Advisors at RIAs

Annual Sales Assistant Survey

Sales Assistants are the backbone of every practice. We'll provide a detailed survey of assistant compensation and benefits, training, work satisfaction and goals.

Life Insurance and Long-term Care Insurance

A primer on insurance and LTC solutions.

Webinar: Best Practices—Secrets of Succession

How to build and run a team.

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Show Distribution

- FPA Annual Conference
- Rainmaker Weekend
- Schwab IMPACT
- IRI Annual Marketing Conference
- REISA
- FSP Forum
- NAREIT
- iGlobal Forum
- NAIBD

Bonus to Advertisers

Ad Recall Survey

Ad Closing: September 1
Materials Due: September 12

NOVEMBER

Special Independent B/D Supplement: The Independent Life

A guide to navigating the independent broker/dealer landscape

- A comprehensive guide to indie broker/dealers
- A look at payout grids, firm size and management

The Life Insurance Report

- What brokers need to know about insurance trends, products and strategies
- Life Insurance Survey: How advisors view insurance products

Top Women Advisors at IBDs

Special Supplement on REITs

The total market capitalization of U.S. publicly-traded equity REITs has roughly doubled since the sector's nadir and the number of firms in the sector is rising after bottoming that same year. This special supplement will take the pulse of the industry and examine the outlooks for each of the major property types while also exploring trends in how REITs are managing portfolios, balance sheets and plans for growth.

Special Section: Retirement Planning

- 401(k) management advice and a look at new tax laws
- Retirement Planning Survey: What an exclusive survey of RR readers reveals

The Basics of Selling Corporate Retirement Plans

What advisors need to know

College Savings

A primer on the nuances of 529 college savings plans and other college savings strategies

Webinar: Charitable Giving

Donor advised funds and other charitable giving tools.

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- The Inner Game: Practice Building Strategies with Matt Oechsli
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Show Distribution

- SIFMA

Bonus to Advertisers

Ad Recall Survey

Ad Closing: October 3
Materials Due: October 12

DECEMBER

Special Issue: Brokerage Report Cards

Reps rate their firms

Estate Planning Trends

A comprehensive review of what a new administration in Washington, D.C., may bring and how it will affect HNW clients.

2012 REIT Forecast

Registered Rep. editors gaze into the crystal ball to explore what readers should expect in the year ahead—and the major trends affecting REITs.

Top Women Advisors at Wirehouses

Special Report: Investing Ideas

A special report on options, derivatives and structured products—including how to use "fancy" products for good and not ill.

Webinar: Practice Management—Becoming a Rainmaker

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Ad Closing: November 1
Materials Due: November 10

Editorial calendar subject to change without notice